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Atty. Docket No. 285-148

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**APPLICANT:** Arthur A. Alfaro et al. **EXAMINER:** [not assigned yet]

**SERIAL NO.:** 09/803,266 **GROUP ART UNIT:** 3738

**FILED:** March 9, 2001 **DATED:** February 8, 2002

**FOR:** ANTERIOR LUMBAR SPACER

Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to Applicant(s) duty of disclosure, the information listed in the attached form PTO-1449 is brought to the attention of the Examiner.

The citation of the listed item is not a representation that it constitutes a complete or exhaustive listing of the relevant art or that the reference is prior art.

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**CERTIFICATION UNDER 37 C.F.R. § 1.10**

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date February 8, 2002, in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Maria Goldman  
(Type or print name of person mailing paper)

*Maria Goldman*  
(Signature of person mailing paper)

The item listed is submitted in good faith, but is not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of this particular item, it will assist in identifying fields of search and in making as full and complete a search as possible.

The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

- This information disclosure statement is being filed within three (3) months of the filing date of this application.
- This information disclosure statement is being filed within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application.
- To the best of Applicant(s) knowledge, this information disclosure statement is being filed before the date of mailing of a first Office Action on the merits in connection with this case.
- Enclosed herewith is a certificate under 37 C.F.R. §1.97(e).
- Enclosed herewith is a petition under 37 C.F.R. §1.97(d)(ii).
  - Enclosed by check is the petition fee of \$130.00. 37 C.F.R. §1.17(i)(1))
  - Please charge the \$130.00 petition fee to Deposit Account No. 04-1121.

- Enclosed by check is the \$240.00 fee required by 37 C.F.R. §1.17(p).
- Please charge the \$240.00 fee required by 37 C.F.R. §1.17(p) to Deposit Account No. 04-1121.
- Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §1.16 and/or §1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account 04-1121. Also, in the event any extensions of time for responding and/or a petition under 37 C.F.R. §1.17(i)(i) are required for the pending application(s), please treat this paper as a petition to extend the time and/or enter this Information Disclosure Statement as required and charge Deposit Account No. 04-1121 therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

Respectfully submitted,



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